



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECRET

#3

Applicant(s): Arthur Zwern, Roman Waupotitsch, Sandor Fejes, Jinlong Chen, Francesco Callari, Oleg Mishin, Anrong Peng, and Esfandiar Bandari

Title: Method and System for Generating Fully-Textured 3-D Models

Serial No.: 09/357,528

Filing Date: 07/19/99

Examiner: Unknown

Group Art Unit: 2764

Docket No.: 889124-02

October 21, 1999

Commissioner of Patents and Trademarks
Box Missing Parts
Washington, DC 20231

Re: Response to Notice To Missing parts of Application
Filing Date Granted

Dear Sir:

In response to the "Notice To Missing parts of Application – Filing Date Granted" mailed by the United States Patent and Trademark Office on August 12 1999, the applicants respectfully request for a month extension for response and the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Copy of Notice To Missing parts of Application – Filing Date Granted;
3. A check of \$780.00 payable to US Patent and Trademark Office; (of which \$40 is for the assignment recordation);


4. An assignment;
5. An assignment recordation sheet;
6. A Fee Transmittal; and
7. A Stamped and Self-addressed postcard.

In addition, the verified statement claiming small entity status is submitted to support the fee reduction as a small business.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the US filing date of July 19, 1999. The applicant believes that \$780.00 enclosed herewith is the only fee to be due in responding to this Notice. However, if the PTO believes otherwise please charge our deposit account No. 50-0968 any charges that may be due. Please telephone the undersigned at (408)777-9887, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231", on 10/21, 1999.

Name: Joe Zheng
Name

Signature: 

Respectfully submitted;


Joe Zheng
Reg.: No. 39,450



FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 780.00

Complete if Known:

Application No. 09/357,528
Filing Date 07/19/99
First Named Inventor Arthur Zwern
Group Art Unit 2764
Examiner Name N/A
Attorney Docket No. 888124-02

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number _____
Deposit Account Name _____
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)
2. ☒ Payment Enclosed
☒ Check
_____ Money Order
_____ Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	760	201	380	Utility application filing fee	380
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					\$ 380.00

2. CLAIMS

			Extra		Fee from below		Fee Paid
Total Claims	38	- 20 =	18	X	9	=	162
Independent Claims	5	- 3 =	2	X	39	=	78
Multiple Dependent Claims				X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of twenty	162
102	78	202	39	Independent claims in excess of 3	78
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ 240

FEE CALCULATION (continued)

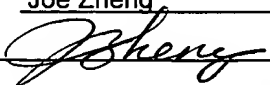
3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	55
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	680	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 160.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Joe Zheng
 Signature:  Date: 10/21/99
 Reg. Number 39,450 Deposit Account User ID _____
 (complete if applicable)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/357,528 07/19/99 ZWERN A 888124-02

0212/0812

ZOE ZHENG SVPA
18026 KING COURT
SARATOGA CA 95070

NOT ASSIGNED

2764

DATE MAILED:

08/12/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1,570.00

☒ 1. The statutory basic filing fee is:

- ☒ missing
☐ insufficient

Applicant must submit \$ 260.00 380.00 *small entity* to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 324.00 for 18 total claims over 20.

\$ 156.00 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

99 CV0808CH 00000060 09357528

360.00 00
162.00 00
76.00 00
65.00 00